
Advancing Open Access: What can you do?

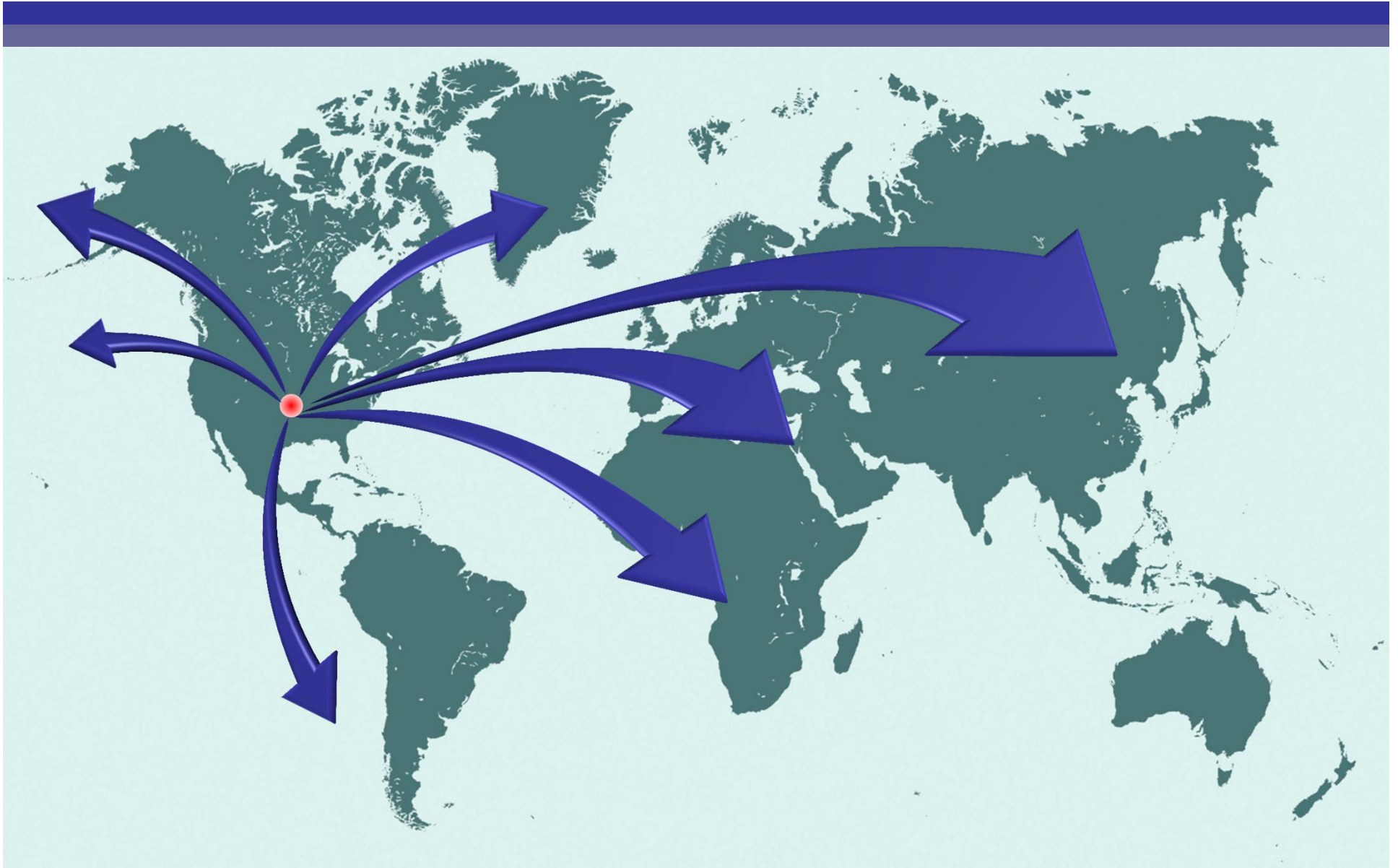
SPARC/ACRL Forum

New Orleans, LA

June 25, 2011







Context for University of Kansas (KU)

- 1998
- No whining!
- Move beyond hand-wringing to solutions
- Do something!





Evolution of OA at KU

October 1998:

KU's then-provost David Shulenburg speaks at the Association of Research Libraries' Membership Meeting in Washington, D.C. (Titled "Moving with Dispatch to Resolve the Scholarly Communication Crisis: From Here to NEAR")

2005:

KU goes live with its institutional repository, KU ScholarWorks (built on Dspace)

March 2005:

KU becomes first member of American Association of Universities (AAU) to pass resolution to encourage self-archiving by its faculty (Titled "Resolution on Access to Scholarly Information")

November 2007:

KU launches its hosted journal publications using Open Journal Systems

June 2010:

KU Interim Provost Danny Anderson accepts & approves OA policy, charging KU Libraries with implementation

February 2010:

Faculty Senate approves revised OA policy & endorses implementation plan

April 2009:

Faculty Senate approves KU's first Open Access (OA) policy, requiring the charging of an implementation task force

October 2008 – April 2009:

Ad hoc committee designs & distributes faculty survey, holds two open meetings and responds to numerous individual & departmental communiqués



Positioning KU for Open Access

- 2005: Resolution passed by KU University Council
- Resolution focused on:
 - Awareness of scholarly communication issues
 - Role of libraries
 - Creation of digital repository
 - Amendments



Shulenberger's Seven Steps

- Create digital repository
- Engage administration
- Acknowledge distribution
- Support public access policies (NIH)
- Work with faculty/campus units
- Develop habits of depositing articles
- Develop a PR program and outreach strategies



KU ScholarWorks

- 2005: Provost requests faculty to populate KUSW (Institutional Repository)
- Libraries' role
 - Work with faculty
 - Provide information about journal policies
 - Establish communities in KUSW (IR)



Implementing steps

- KU ScholarWorks
- Initiated discussion at Faculty Senate
- At outset involved administrators
- Worked with academic departments
- Identified early adopters
- Provide feedback on views/downloads of articles
- Increased PR efforts



Mandates work, requests do not

- Resolution at KU in 2005
 - Education
 - Outreach
 - Unmediated uploads
 - Sluggish faculty



Authors' rule!

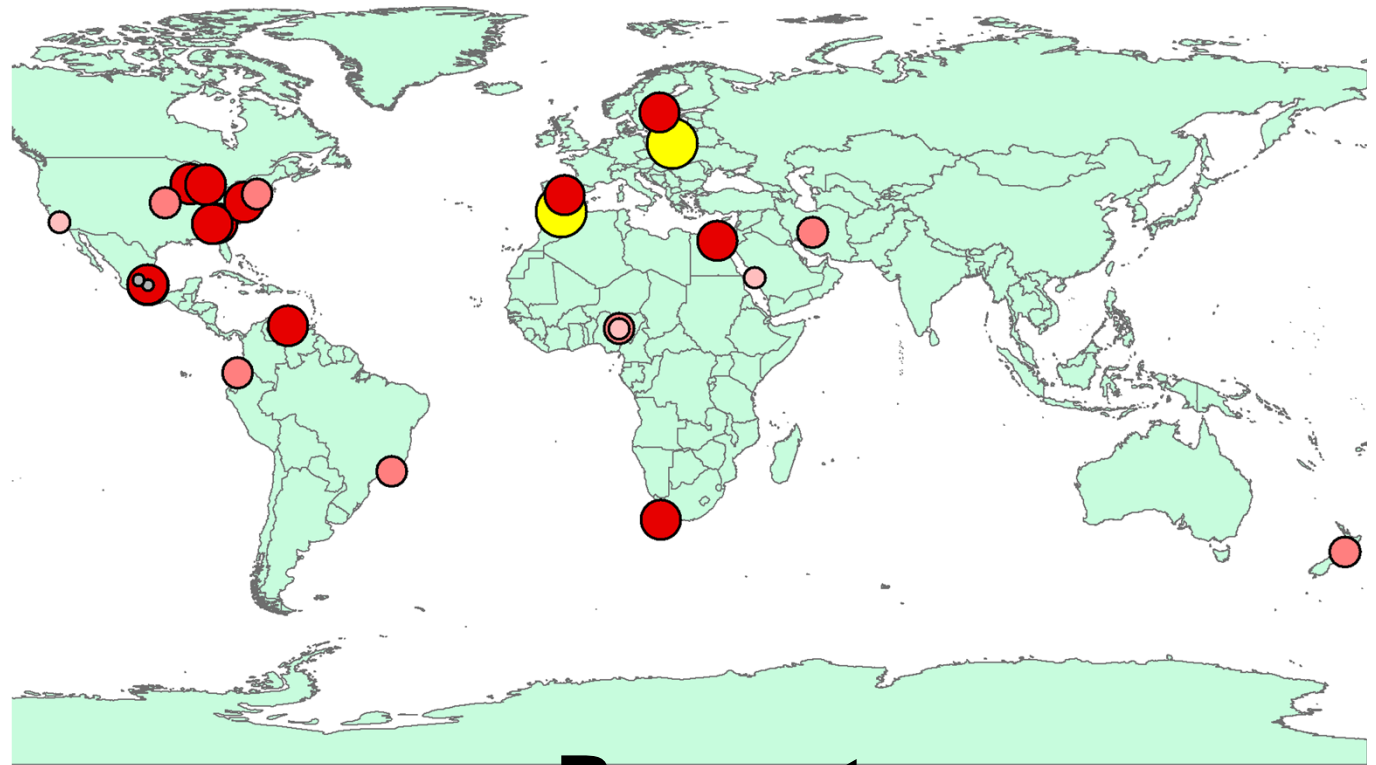
- Authors control:
 - Whether to submit their work to an OA journal
 - Whether to deposit their work in an OA institutional repository
 - Whether to transfer copyright



Awkward impasse

- Suber asserts that faculty
 - have an interest in OA as authors and as readers
 - control the solution
 - are not focused on OA

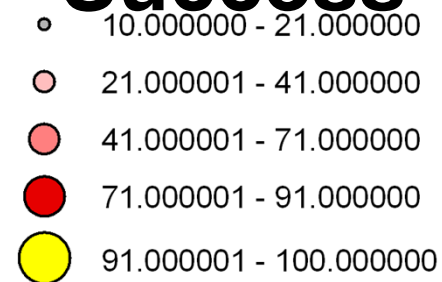




Note that:

- only 2 colleagues achieve 100% success
- no US colleague had 100% success
- access can be quite unsatisfactory, even in the US

Percent Success



Challenges

- Anxiety and fear
- Misinformation
- Concern over open access vs peer review
- Promotion and tenure
- Too busy to learn about OA
- Resentment



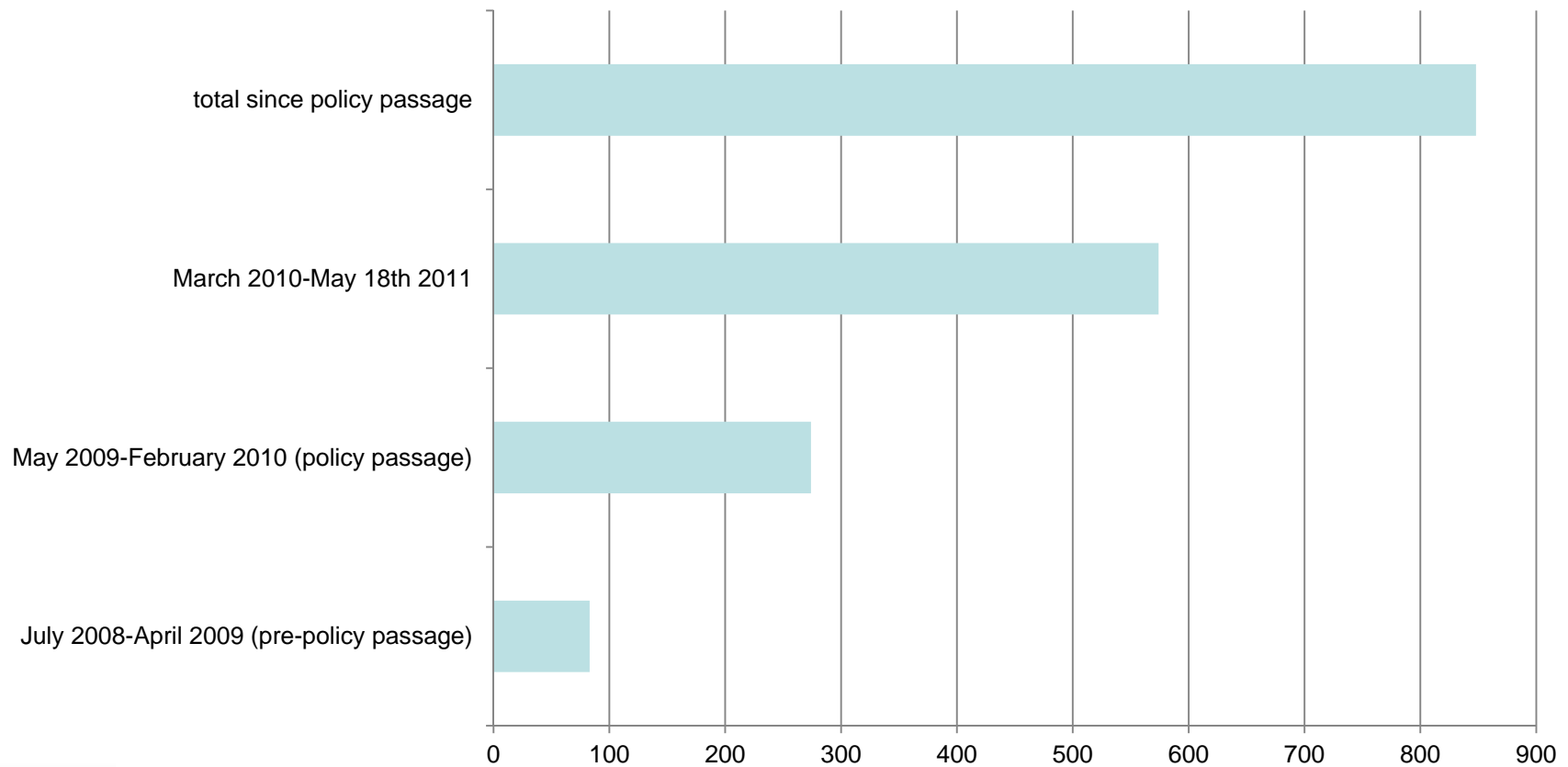
Mandates work, requests do not....

- 2008: Ad Hoc group charged by faculty senate to develop policy
- Faculty led initiative (+ librarians)
- April 2009: KU faculty senate passed vote on university-wide OA policy
- 2009: Approved by provost and chancellor
- 2009-2010: Develop implementation plan: Approved February 2010
- Provost's designate for open access implementation



OA gaining momentum

Three Phases of Deposits



Role for Libraries

- Good fit with library's mission
- Connect users with information
- Provide access to information
- Liaison model in place



Steps to elevate open access policy on campus

- OA Advisory Board
- Engage Chancellor, Provost, VCR and deans
- Engage faculty advocates for OA
- Establish OA liaisons across campus
- Encourage librarians to lead by example
- Participate in OA week; great for outreach especially to graduate students!



Steps to elevate OA on campus...

- Provide mediated services
 - Policy analysis
 - Upload pubs as appropriate
 - Acknowledge distribution
- Distribute announcements of OA policy adoption (Trinity; Duke; Emory; WU etc.)
- High visibility of collaboration between ScholComm librarian and OA faculty



Elevate OA on campus and beyond...

- Encourage librarians to serve in governance roles
- Take a stand! (“Copyfight”)
- Highlight success stories of author rights
- Remind HE leaders who supported FRPAA; take OA support to global level

See: <http://www.arl.org/sparc/advocacy/frpaa/institutions.shtml>



Points to ponder...

- Each campus has its own political climate/culture; one shoe does not fit all
- Faculty-led initiative: faculty have to own it!
- Role for libraries/librarians
- The real work starts after the OA policy has been adopted
- Mediated services are attractive to faculty
- Often unfunded mandate or limited funding



Why sign the Berlin Declaration?

1. University research matters; maximize its impact. Tie it to the mission of your university.
2. Ethical rationale: university research is a public good. It brings knowledge to the layperson who pays taxes to support university research.
3. Major research funders require OA (NIH).
4. Take a stand against (some) major publishers' "*profit above all*" policies.



Why sign....?

- To remind scientists about the main OA publishing channels
- To support initiatives for new OA journals
- To support initiatives to bring existing journals to OA



Why sign...?

- To join an already large movement to make open access a global movement
- Help build consensus among universities, research funding organizations and politicians



Berlin Declaration

- What can you do?
 - Review list of leaders in HE who have signed letters in support of FRPAA. Remind them.
 - Engage administrators; use SPARC talking points
 - Get the Berlin 9 conference on their calendar
 - Engage faculty
 - OA advisory board
 - Liaisons



Support for the Berlin Declaration

What can you do?

- Distributed the Berlin Declaration to OA Advisory Board for support
- Solicited support from OA liaisons
- Provided and discussed the Berlin Declaration with Provost and Chancellor
- Highlight impact of open scholarship in campus publications



University mandates

- Check ROARMAP to see if your institution's OA policy is registered in
- See:
<http://openaccess.eprints.org/index.php?/archives/814-ROARMAPs-Green-Open-Access-Mandates-Pass-200-Mark.html>



Thank you!

ALONE YOU MAY GO FASTER;
TOGETHER WE CAN GO FURTHER

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Dean of Libraries
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